REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Subsection (b) of 35 USC §132, effective on May 29, 2000, provides for continued examination of an utility or plant application filed on or after June 8, 1995. See The American inventors Protection Act of 1999 (AIPA).

Application Number	10/666,518
Filing Date	September 19, 2003
First Named Inventor	Mitsuru MIMORI
Group Art Unit	2627
Examiner Name	PATEL, Gautam
Attorney Docket Number	5405-8RCE

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.

Note: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000 applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53(d) (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See Changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar. 20, 2000), 1233 Off. Gaz. Pat. Office 47 (April 11, 2000), which established RCE practice.

1. **Submission required under 37 C.F.R. § 1.114**

   a. [] Previously submitted
   - i. [] Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on. (Any unentered amendment(s) referred to above will be entered).
   - ii. [] Consider the arguments in the Appeal Brief or Reply Brief previously filed on
   - iii.[] Other

   b. [x] Enclosed
   - i. [x] Amendment/Reply
   - ii. [] Affidavit(s)/Declaration(s)
   - iii.[] Information Disclosure Statement (IDS)
   - iv.[x] Other: Terminal Disclaimer and Certificate Under 37 CFR 3.73(b)

2. **Miscellaneous**

   a. [] Suspension of action of the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of months. (Period of suspension shall not exceed three months; Fee under 37 C.F.R. § 1.17(I) required)

   b. [] Other

3. **Fees**

   The RCE fee under 37 C.F.R. 1.17(e) is required by 37 C.F.R. 1.114 when the RCE is filed.

   a. [] The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No.: 03-2412.
   - i. [] RCE fee required under 37 C.F.R.§ 1.17(e), if not already paid by check
   - ii. [] Extension of time fee (37C.F.R. §§ 1.136 and 1.17), if not already paid by check
   - iii.[] Small Entity Status is being claimed for this application.
   - vi.[] Other

   b. [x] Check in the amount of $1,940 ($790 for filing fee, $1,020 for extension fee, and $130 for filing of terminal disclaimer) is enclosed.

   c. [] Payment by credit card (Form PTO-2038 enclosed)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name (Print/Type)	Alfred W. Froebrich	Registration No. (Attorney/Agent)	38,887
Signature		Date	July 18, 2007

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner For Patents, Mail Stop RCE, P.O. Box 1450, Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office on: July 18, 2007

Name (Print/Type)	Alfred W. Froebrich		
Signature		Date	July 18, 2007

Attorney Docket No.: **5405-8RCE**

FILING FEE COMPUTATION SHEET

Submit an original and a duplicate for fee processing

Dated: July 18, 2007

Mail Stop RCE
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

In re RCE Application of: Mitsuru MIMORI et al.
For: Optical Element, Objective Optical Element and Optical Pickup Device
Parent Serial No.:   10/666,518

The filing fee has been calculated as shown below:

FOR:	Col. 1	Col. 2	SMALL ENTITY	LARGE ENTITY
	# FILED	# EXTRA		
BASIC FEE			**$395**	**$790**
TOTAL CLAIMS	- 20 =		x 9 = $	x 18 = $
INDEPENDENT CLAIMS	- 3 =		x 44 = $	x 88 = $
MULTIPLE DEPENDENCY			+$150 = $	+300 $
The fees below apply only if claims are added that exceed the number of the originally filed claims				
Claims in excess of originally filed claims			x 25 = $	x 50 = $
Claims in excess of originally filed claims			x 100 = $	x 200 = $
Multiple Dependency if added and not paid in parent			+$180 = $	+360 $
			TOTAL: $	**$790**